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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION

<b>UNDER RULE 35 USC 371</b>	AND 37 CFR	1.494(C) C	DR 1.495(C)

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			PLETION Cases Only			<del>,</del>	
	e <u>PATENT APPLICATION</u> of entor(s): MARGISON ET AL	Cases Only		Attn: Application Division			
	oln. No.:09	0,259	Atty. Dkt.	P	275388		076US
Nat	Series Code û Serial No.	Û			M#	Cli	ient Ref
INA	Based on PCT GB99 0136	2					
Title	⊕: GENE THERAPY VECTORS		e ini				
TILL	ANTITUMOUR THERAPY	AND THEIR OS	DE III				
			Date: Octob	er 30,	2001		
۸		EM(S) LATE IN	PCT/USA NA	ATIO	NAL CASE	<u>.</u>	
	t. Commissioner of Patents shington, DC 20231	·					
Sir:							
wii.	The following completes the fi	ling of the subjec	ct application ur	nder F	Rule 494(c)/	195(c). Pl	ease accept
	the following attached items:						
1.	Missing Requirements Notice (F	PCT/DO/EO/905)	⊠ сору	attac	hed 🗌	not yet red	ceived
2.	⊠ Signed Declaration	⊠ Original	☐ Facsimile	/Сору	with	spec/clain	ns attached
3.	☐ <b>Translation</b> of the Internation	nal Application ir	nto English inclu	uding:			
a. [	Request;		b. 🔲 Abstract	t			
c e.	<u> </u>	oformal			erification ize	☐ 11"	`
_			<del></del>		126   A4		
4.							
5.	Information Disclosure State	ement including					
	<ul> <li>a.  From PTO-1449 listing documents</li> <li>b.  Copies of document(s) listed on Form PTO-1449</li> <li>c.  A concise explanation of ISR references is given in the ISR</li> </ul>						
<b>3</b> .		t. <u>Please return</u>	the recorded as	signn	<u>nen</u> t to the ເ	ındersigne	ed.
7.	Copy of Power to internation	al application age	ent.				
3.	1 (No.) Small Entity Statement(s	s) establishing "si	mall entity" stati	us un	der₁Rules 9	<b>&amp;</b> 27.	
9.	Formal Drawings: _ sheet(s)	informa	l; 🔲 forma	al of si	ze: 🗌 A	4 🔲	11"
10	⊠ Please immediately start nati	onal examination	n procedures (3	5 US	C 371(f))		

Entity	11. 🖂 Attached: SUBMISSION						
14. Calculation of remaining fe s due (if any): based on amended claim(s) per above item    12 (above) or item(s) in PAT-112 (filed previously)   12	12.   Preliminary Amendment:						
12 (above) or item(s) in PAT-112 (filed previously)	13.   Basic U.S. National fee per Rule 4	192(a)(1)-(4)	was previousl	y timely filed.	:		
15. CLAIMS FEES	14. Calculation of remaining fe s due	(if any): base	ed on amende	ed claim(s) pe	r above	item	
15A. Small Entity Statement	☐ 12 (above) or item(s) in PAT-112 (	(filed previou	sly) 🔲 1	2 🔲 14	17	7 📋 :	25
Large/Small   Fee   Large/Small   Large/Small   Fee   Large/Small   Fee   Large/Small   Fee   Large/Small   Large/Small   Fee   Large/Small   Fee   Large/Small   Large/S	15. CLAIMS FEES  previously paid	l 🔲 paid	d herewith as	follows:			
Large/Small   Fee   Large/Small   Large/Small   Fee   Large/Small   Fee   Large/Small   Fee   Large/Small   Large/Small   Fee   Large/Small   Fee   Large/Small   Large/S	15A. Small Entity Statement ☐ Herewith	h	usly Filed				
Entity	,,		ĺ	Large/Smal		F	Fee
17. Independent Claims							Code
18. If any proper multiple dependent claim (ignore improper) is present,   \$280/\$140   +140   968/96     19. Filing Declaration late, fee paid	16. Total Effective Claims 55	minus 20 =	35	x \$18/\$9	+315		966/967
19. Filing Declaration late, fee paid □ previously □ now □ \$130/\$65		minus 3 =	0	x \$84/\$42	+0	ć	964/965
20.   21. Original due date:   May 30, 2001	18. If any proper multiple dependent claim (igno	re improper) is	s present,		+140	8	968/969
21. Original due date: May 30, 2001   22. Petition is hereby made to extend the original due date to (1 mo)   \$110/\$55 =   +980   115/21   116/21		sly 🗵 now				1	54/254
22. Petition is hereby made to extend the original due date to (1 mo) \$110/\$55 = \$4980				SUBTOTA	L   \$520		
cover the date this response is filed for which the requisite fee (2mos) \$400/\$200 = 11/10/201 LIANDGRA 00000054 09700259  116/21 is attached (3mos) \$920/\$460 = 11/17/21 (3mos) \$920/\$460 = 11/17/21 (3mos) \$1,440/\$720 = 11/17/21 (3mos) \$1,440/\$720 = 11/18/21 (3mos) \$1,400/\$720			4	#440/#FF -	1.000		451045
is attached (3mos) (390,8460 = (4mos) \$1,440/8720 = 1118/21 (5mos) \$1980/980 = 1118/21 (5mos) \$1980/98					1+980		
(4mos)   \$1,440/\$720 =   118/21		e requisite lee			ŀ		
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee	io attaorioa		` '		=		18/218
24. If "assignment" box 6 is X'd, add recording fee. \$40	M		(̇̀5mos)́	\$1960/980 =			
(Our Deposit Account No. 03-3975) (Our Order No. 40432 0275388  C# M#  CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized nereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.  This CHARGE STATEMENT does not authorize charge of the issue fee untill/unless an issue fee transmittal form is filed.  Pillsbury Winthrop LLP Intellectual Property Group  ### McLean, VA 22102 Tel: (703) 905-2000  Sig: Fax: (703) 905-250 Tel: (703) 905-251  PNK/MAH  NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments  11/01/2001 LLANDGRA 00000054 09700259  01 FC: 9569 140,00 0P 02 FC: 967 315,00 0P 03 FC: 254 65,00 0P					+0	1	56
(Our Deposit Account No. 03-3975) (Our Order No. 40432   02753888   02753888   02753888   02753888   02753888   02753888   02753888   02	24. If "assignment" box 6 is X'd, add recording fe	ee		\$40	+40	5	81
CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.  This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.  Pillsbury Winthrop LLP Intellectual Property Group  I McLean, VA 22102 Tel: (703) 905-2000 Sig: Fax: (703) 905-250 Tel: (703) 905-2511  NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments  11/01/2001 LLANDGRA 00000054 09700259  1 FC:969 1 140.00 0P 02 FC:967 315.00 0P 03 FC:254 65.00 0P	25.		TOTAL FEE	ENCLOSED	= \$1540	)	
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1600 Tysons Boulevard   By Atty:   Paul N. Kokulis   Reg. No.   16773     McLean, VA 22102   Tel: (703) 905-2000   Sig:   Fax: (703) 905-250     PNK/MAH   NOTE: File in duplicate   with PTO receipt (PAT-103A) and attachments     11/01/2001 LLANDGRA 00000054 09700259   140.00 DP   02 FC:967   315.00 DP     11/01/2001 LLANDGRA 00000054 09700259   11/01/2001 LLANDGRA 00000054 09700259     11/01/2001 LLANDGRA 00000054 09700259   11/01/2001 LLANDGRA 000000054 09700259   11/01/2001 LLANDGRA 000000054 09700259   11/01/2001 LL		iee until/unless an is	sue fee transmittal fo	rm is filed.			
1600 Tysons Boulevard   By Atty:   Paul N. Kokulis   Reg. No.   16773     McLean, VA 22102   Tel: (703) 905-2000   Sig:   Fax: (703) 905-250     PNK/MAH   NOTE: File in duplicate   with PTO receipt (PAT-103A) and attachments     11/01/2001 LLANDGRA 00000054 09700259   140.00 DP   02 FC:967   315.00 DP     11/01/2001 LLANDGRA 00000054 09700259   11/01/2001 LLANDGRA 00000054 09700259     11/01/2001 LLANDGRA 00000054 09700259   11/01/2001 LLANDGRA 000000054 09700259   11/01/2001 LLANDGRA 000000054 09700259   11/01/2001 LL							
1600 Tysons Boulevard McLean, VA 22102 Tel: (703) 905-2000 Sig: Fax: (703) 905-250 Tel: (703) 905-211  NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments  11/01/2001 LLANDGRA 00000054 09700259 01 FC:969 02 FC:967 03 FC:254 11/01/2001 LLANDGRA 00000054 09700259		•					
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Tel: (703) 905-2000  Sig:  PNK/MAH  NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments  11/01/2001 LLANDGRA 00000054 09700259  01 FC: 969 02 FC: 967 03 FC: 254  11/01/2001 LLANDGRA 00000054 09700259		17					
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

MARGISON ET AL

Serial No.

09/700,259

Group Art Unit: Unknown

Filed: November 14, 2000

Examiner: Unknown

For:

**GENE THERAPY VECTORS** 

AND THEIR USE IN ANTITUMOUR

**THERAPY** 

October 30, 2001

#### SUBMISSION

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

It is to be noted that the application papers as submitted herewith include an additional inventor, Jolyon H. Hendry, who was inadvertently not listed when the application was originally filed on November 14, 2000.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Bv

Paul N. Kokulis Reg. No. 16773

PNK:mh 1600 Tysons Boulevard McLean, Virginia 22102 Phone: (703) 905-2118